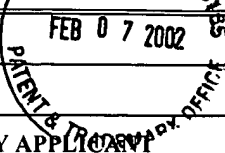


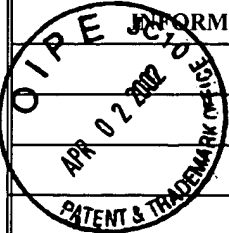
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Sheet 2 of 2

U.S. Department of Commerce, Patent and Trademark Office		OCT 19 2001	Attorney Docket No.: 004-4667
			Application No.: 09/829,207
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Technology Center 2100	
(Use several sheets if necessary)		Applicant(s): Guy L. Steele Jr. et al.	
		Filing Date: April 9, 2001	
		Group Art Unit: 2181	
		Date Submitted: October 16, 2001	
NON PATENT LITERATURE DOCUMENTS			
*Examiner Initial	Cite No.	Including name of author in capital letters, title of article, title of item, date, pertinent pages, volume-issue number(s), publisher, city and/or country where published.)	
CSK	AA	H. Attiya and E. Dagan, "Universal Operations: Unary versus Binary," Proceedings of the 15th Annual ACM Symposium on Principles of Distributed Computing, Phila., PA, May 23-26, 1996, pp. 223-232.	
CSK	AB	H. Massalin and C. Pu, "A Lock-Free Multiprocessor OS Kernel," Technical Report TR CUCS--005--9, Columbia Univ., New York, NY, 1991, 21 pp.	
CSK	AC	Hagit Attiya and Ophir Rachman, "Atomic Snapshots in $O(n \log n)$ Operations," SIAM Journal on Computing, Vol. 27, No. 2, 1998 SIAM, pp. 319-340.	
CSK	AD	Hagit Attiya et al, "Are Wait-Free Algorithms Fast?," Journal of the ACM, Vol. 41, No. 4, Jul. 1994, pp. 725-763.	
CSK	AE	M. B. Greenwald and D. R. Cheriton, "The Synergy Between Non-Blocking Synchronization and Operating System Structure," 2nd Symposium on Operating Systems Design and Implementation," Seattle, WA, Oct. 1996, 14 pp.	
CSK	AF	M. Herlihy and J.E.B. Moss, "Transactional Memory: Architectural Support for Lock-Free Data Structures," Technical Report CRL 92/07, Digital Equipment Corp., Cambridge Research Lab. 1992, pp. 289-300.	
CSK	AG	M. P. Herlihy and J. M. Wing, "Linearizability: A Correctness Condition for Concurrent Objects," ACM Transactions on Programming Languages and Systems, Vol. 12, No. 3, Jul. 1990, pp. 363-492.	
CSK	AH	Maurice Herlihy, "A Methodology for Implementing Highly Concurrent Data Objects," ACM Transactions on Programming Languages and Systems, Vol. 15, No. 5, Nov. 1993, pp. 745-770.	
CSK	AI	Maurice Herlihy, "Wait-Free Synchronization," ACM Transactions on Programming Languages and Systems, Vol. 13, No. 1, Jan. 1991, pp. 123-149.	
CSK	AJ	M68000 Family Programmer's Reference Manual, Motorola, Inc., 1992.	
CSK	AK	MC68020 32-Bit Microprocessor User's Manual, Motorola, Prentice-Hall, 2nd ed., 1986.	
CSK	AL	MC68030 User's Manual, Motorola, Prentice-Hall, 1989.	
CSK	AM	M68040 User's Manual. Motorola, Inc., 1990, rev. 1992, 1993.	
CSK	AN	Michael B. Greenwald, "Non-Blocking Synchronization and System Design," PhD Thesis, Stanford Univ. Technical Report STAN-CS-TR-99-1624, Palo Alto, CA, Aug. 1999, 260 pp.	
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CSK	AP	Nimar S. Arora et al., "Thread Scheduling for Multiprogrammed Multiprocessors," Proceedings of the 10th Annual ACM Symposium on Parallel Algorithms and Architectures, Puerto Vallarta Mexico, 1998, pp. 119-129.	
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CSK	AR	Yehuda Afek et al, "Disentangling Multi-Object Operations," Proceedings of the 16th Annual ACM Symposium on Principles of Distributed Computing, Santa Barbara CA, 1997, pp. 111-120 (10 pp.).	
Examiner Ken S. Kim		Date Considered 4-1-04	
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>			

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					Filing Date: April 9, 2001			
					Group Art Unit: 2181			
					Date Submitted: October 16, 2001			
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
CSK	AA	6,223,335 B1	Apr. 24, 2001	Cartwright, Jr. et al	717	1		
	AB							
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	AH							
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	AJ							
	AK							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
CSK	AR	Anthony LaMarca, "A Performance Evaluation of Lock-Free Synchronization Protocols," Proceedings of the 13th Annual ACM Symposium on Principles of Distributed Computing, Los Angeles, CA, Aug. 14-17, 1994, pp. 130-140.						
CSK	AS	B. N. Bershad, "Practical Considerations for Non-Blocking Concurrent Objects," Proceedings of the 13th IEEE Int'l Conf. on Distributed Computing Systems, IEEE Computer Society Press, Los Alamitos, CA, May 1993, 10 pp.						
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Examiner		Ken S. Kim			Date Considered 4-1-04			
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				Application No.: 09/829,207				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s): Guy L. Steele Jr., et al.				
(Use several sheets if necessary)				Filing Date: April 9, 2001				
				Group Art Unit: 2181				
				Date Submitted: February 1, 2002				
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA							
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<div style="text-align: center;"> RECEIVED FEB 13 2002 Technology Center 2100 </div>								
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							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
103K	AL	EP 0 466 339 A2	15.01.92	EPO	—	—		
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	AN							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
dup	AR	Ole Agesen et al., "DCAS-Based Concurrent Deques," Proceedings of the Twelfth Annual ACM Symposium on Parallel Algorithms and Architectures (SPAA2000), Bar Harbor, Maine, online, 9-12 July 2000, pp. 137-146 XP002172095, Association for Computing Machinery, ACM, New York, NY, [retrieved from the Internet on 2001-07-13: URL: http://research.sun.com/jtech/subs/00-dequel.ps].						
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	AT	Web site						
Examiner	Ken S. Kim		Date Considered 4-1-04					
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				Filing Date: April 9, 2001		
				Group Art Unit: 2181		
				Date Submitted: March 26, 2002		
U.S. Patent Documents						
*Examiner Initial		Document Number	Date	Name		
	AA					
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Foreign Patent Documents						
					Translation	
		Document	Date	Country	Yes	No
CSK	AL	EP 0 366 585 A	May 2, 1990	EPO		
CSK	AM	WO 86 00434 A	Jan. 16, 1986	PCT		
	AN					
	AP					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
CSK	AR	Agesen, Ole, et al.: "DCAS-BASED CONCURRENT DEQUES," SPAA 2000. 12th Annual ACM Symposium on Parallel Algorithms and Architectures, Bar Harbor, ME, July 9-12, 2000, Annual ACM Symposium on Parallel Algorithms and Architectures, New York, NY: ACM, US, 9 July 2000, XP002172095, ISBN: 1-58113-185-2, pp. 137-146.				
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Ken S. Kim		3-31-04				
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